



पावर ट्रांसमिशन कारपोरेशन ऑफ उत्तराखण्ड लि०

(उत्तराखण्ड सरकार का उपक्रम)

निदेशक (परियोजना) कार्यालय

विद्युत भवन, नजदीक-आई०एस०बी०टी० क्रॉसिंग, सहारनपुर रोड़, माजरा, देहरादून-248002

दूरभाष नं० 0135-2645744 फैक्स नं० 0135-2645753 ई-मेल director_project@ptcul.org

No. 2927/Dir. (Projects)/PTCUL/ UERC

Date: 12/12/2018

The Secretary
Uttarakhand Electricity Regulatory Commission
"Vidyut Bhawan", P.O. Majra,
Near I.S.B.T. P.O.- Majra
Dehradun (Uttarakhand).

Sub:- Filing of Multi Year Tariff petition for Control Period FY 2019-20 to FY 2021-22, determination of tariff for FY 2019-20 and annual performance review for FY 2018-19 for SLDC.

Sir,

Kindly take reference of the Hon'ble Commission's Letter No. UERC/6/TF/500/Misc. App. No. 76 of 2018/1230 dated 06.12.2018 on the above-mentioned subject, vide which certain deficiencies/shortcomings have been observed by Hon'ble UERC and directed PTCUL to submit the point-wise replies duly quoting titles/sub-titles and Sl. No. identical with the deficiencies referred to in enclosed Annexure-A latest by 12.12.2018 in seven copies along with the soft copy of the reply accompanied with an affidavit.

In compliance of the same, PTCUL is submitting herewith the point-wise replies duly quoting titles/sub-titles and Sl. No. identical with the deficiencies in seven copies along with the soft copy of the reply accompanied with an affidavit.

Submitted for kind consideration of Hon'ble Commission.

Thanking you,

Yours faithfully,


(Sanjaya Mittal) 12.XII.2018
Director (Projects)

Encls: As above.

Cc:- Managing Director, PTCUL, Dehradun for kind information.

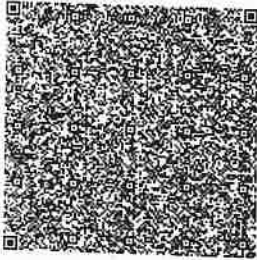


सत्यमेव जयते

INDIA NON JUDICIAL Government of Uttarakhand

e-Stamp

Certificate No.	: IN-UK93757515091078Q
Certificate Issued Date	: 04-Dec-2018 11:47 AM
Account Reference	: NONACC (SV)/ uk1204904/ DEHRADUN/ UK-DH
Unique Doc. Reference	: SUBIN-UKUK120490489060845962424Q
Purchased by	: PTCUL
Description of Document	: Article 4 Affidavit
Property Description	: NA
Consideration Price (Rs.)	: 0 (Zero)
First Party	: PTCUL
Second Party	: NA
Stamp Duty Paid By	: PTCUL
Stamp Duty Amount(Rs.)	: 10 (Ten only)



ROHIT KUMAR
Stamp Vendor
Court Compound, Dehra Dun,

Please write or type below



Signature

12-11-2018

Director (Projects)
POWER TRANSMISSION CORPORATION
OF UTTARAKHAND LTD., DEHRADUN

Statutory Aiert:

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BEFORE UTTARAKHAND ELECTRICITY REGULATORY COMMISSION

IN THE MATTER OF: Petition for approval of Multi Year Tariff for control period FY 2019-20 to FY 2021-22, determination of tariff alongwith Annual Performance Review (APR) for FY 2018-19 of SLDC (Reply to Letter No. UERC/6/TF/500/Misc. App. No 76 of 2018/1230 dated 06.12.2018)

AND

IN THE MATTER OF: Power Transmission Corporation of Uttarakhand Ltd.
"Vidyut Bhawan", 132 KV Sub-Station Majra,
Saharanpur Road, Near I.S.B.T Crossing,
Dehradun , Uttarakhand-248002.

..... (Petitioner)

AFFIDAVIT

I, Sanjaya Mittal, S/o Shri Surendra Kumar Mittal, aged about 56 years, working as Director (Projects), Power Transmission Corporation of Uttarakhand, herein after called the deponent do hereby solemnly affirm and state on oath as under:

1. That the deponent is the Director (Projects) of Power Transmission Corporation of Uttarakhand who is authorized as per resolution of the company dated 28.11.2018 and is acquainted with the facts deposed to below.
2. I, the deponent named above do hereby verify that the contents of the paragraph No.-1 of the affidavit and the statements made and data presented in the accompanying petition are true to my personal knowledge and are based on present information/records of the Company and are based on estimation arising from present data/records of the company which I believe to be true and verify that no part of this affidavit is false and nothing material has been concealed.

(Deponent)

Sanjaya Mittal
Director (Projects) 11.12.18

Power Transmission Corporation of Uttarakhand Limited

Director (Projects)
POWER TRANSMISSION CORPORATION
OF UTTARAKHAND LTD., DEHRADUN



I, Prashant Chamoli, Advocate, Dehradun do hereby declare that the person making this affidavit is known to me through the perusal of records and I am satisfied that he is the same person alleging to be deponent himself.

Prashant Chamoli
(..PRASHANT CHAMOLI..)
ADVOCATE
Reg. No. UA-463/2005
Advocate

Solemnly affirmed before me on this ...19... day of December, 2018 by the deponent who has been identified by the aforesaid advocate.

I have satisfied myself by examining the deponent that he understood the contents of the affidavit which has been read over and explained to him. He has also been explained about section 193 of Indian Penal Code that whoever intentionally gives false evidence in any of the proceedings of the Commission or fabricates evidence for purpose of being used in any of the proceedings shall be liable for punishment as per law.

(Notary Public)



This affidavit is sworn before me by
Shri. Sanjaya Mittal
who is identified by Prashant Chamoli's
at Dehradun on 12/12/18 Adv.
K.M. KUSUMA BHATIA
Advocate & NOTARY, Dehradun.

Response to deficiencies/ shortcomings in MYT Petition for Control Period FY 2019-20 to FY 2021-22 for SLDC

Preliminary information required for admission of Petition

1. SLDC has submitted the excel formats along with its MYT Petition for the Control Period from FY 2019-20 to FY 2021-22. Some of the Forms are not duly filled as shown in the table below:

Form	Description	Shortcoming
6.1B	Break-up of Construction/Supply/Service Packages	Details not furnished
6.3	Element wise Break-up of Project/Asset/Element Cost	Details not furnished
6.4	Statement of Additional Capitalisation after COD	Details not furnished
6.5	Financing of Additional Capitalisation	Details not furnished
6.6	Incidental Expenditure during Construction	Details not furnished
6.7	Statement of De-capitalisation	Details not furnished
7	Draw Down Schedule for Calculation of IDC & Financing Charges	Details not furnished
9	Details of Financial Packages	Details not furnished
10.1	Statement of Outstanding Loans	Complete details not furnished in the specified format

In this regard, SLDC is required to submit the duly filled in excel formats. Further, in case of non-applicability of any Format, SLDC is required to clearly mention reasons in the same

Response

The Table below summarizes the various shortcomings noted by the Hon'ble Commission along with the SLDC's responses for the same:

Form	Description	SLDC's Response
6.1B	Break-up of Construction/Supply/Service Packages	Updated form 6.1B is being submitted to the Hon'ble Commission as Annexure I
6.3	Element wise Break-up of Project/Asset/Element Cost	Not applicable (Already submitted in form 9.5 of the PTCUL formats)
6.4	Statement of Additional Capitalisation after COD	Not applicable (as no Additional Capitalization has taken place)
6.5	Financing of Additional Capitalisation	Not applicable (as no Additional Capitalization has taken place)
6.6	Incidental Expenditure during Construction	IEDC for SLDC is NIL.
6.7	Statement of De-capitalisation	Updated formats with updated form 6.7 will be submitted to the Hon'ble Commission as Annexure II
7	Draw Down Schedule for Calculation of IDC & Financing Charges	Since the information as in Form 9 is not maintained as such, it would be difficult to furnish the same. Hence, the Petitioner humbly prays to the Hon'ble Commission to consider the Statement of Capital and Details of IDC as provided in Forms 6.1 and Form 9.5 of PTCUL (for the project in question) respectively.
9	Details of Financial Packages	The information required in the format is not maintained as such. Hence, the Petitioner humbly prays to the Hon'ble Commission to consider the information provided in the format
10.1	Statement of Outstanding Loans	The Form has been completed and will be submitted to the Hon'ble Commission as Annexure III


 Director (Projects)
 POWER TRANSMISSION CORPORATION
 OF UTTARAKHAND LTD., DEHRADUN

2. In Table 4 of the Petition, SLDC has submitted that 6 no. of employees were recruited in FY 2017-18. However, in Table 7 of PTCUL's MYT Petition wherein the true-up for PTCUL as a whole was sought, the total employee recruitment during FY 2017-18 is submitted as 5. SLDC is required to submit the justification for this discrepancy and reconcile the figures.

Response

It is submitted before the Commission that PTCUL in FY 2017-18 recruited 05 nos of employees in FY 2017-18 wherein 03 were of the Technician Grade-II (Electrical) category and 02 recruits were of the Office Assistant-III category and were recruited against the Deceased Quota.

It is also submitted that the recruitment of 06 nos of employees for SLDC, shown in the Table number 4 of the Petition, was not conducted by SLDC directly but the 6 employees were transferred to the SLDC from PTCUL. PTCUL and SLDC are not separate entities yet and thus PTCUL transfers employees to SLDC from its sanctioned strength for SLDC to meet its manpower requirement.


Director (Projects)
POWER TRANSMISSION CORPORATIO
OF UTTARAKHAND LTD., DEHRADUN

Form: F-6.1B

Break-up of Construction/Supply/Service Packages

(Figures in Rs Crore)

Name/No. of Construction/supply/service package	Scope of works ¹ (in line with head of cost break-ups as applicable)	Whether awarded through ICB/DCB/ Departmentally/ Deposit Work, etc.	No. of bids received	Date of Award	Date of Start of work	Date of Completion of Work	Value of Award in (Rs. Lakh).	Firm or With Escalation in prices	Actual expenditure till the completion or up to COD whichever is earller (Rs. Lakh)	Taxes & Duties and IEDC (Rs. Lakh)	IDC, FC, FERV & Hedging cost (Rs. Lakh)	Sub-Total (Rs. Lakh)
OPGW connectivity in PTCUL under phase-II of ULDC Project against tender specification No. PTCUL/E-Tender/C&P-II/OPGW-13/2013-14	Supply = 20,62,77,206.89 + Erection= 10,58,10,340.32			01.08.2015	01.08.2015	Not yet completed	31,20,87,547.00		Not yet completed	Taxes are include in Contract Value		

Note:

1 The scope of work in any package should be indicated in conformity of cost break-up in Form-6.3 to the extent possible.

2 If there is any package, which need to be shown in Indian Rupee and foreign currency(ies), the same should be shown separately along with the currency, the exchange rate and the date e.g. Rs. 800 Lakh. + US\$ 5m=Rs. 3900 Lakh. at US\$=Rs62 as on say 01.04.14.

Director (Projects)
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OF UTTARAKHAND LTD., DEHRADUN

SLDC State Load Despatch Centre

Form: F-6.7

Statement of De-capitalisation

(Figures in Rs Crore)

Sr. No.	Year of De-capitalisation	Work/Equipment proposed to be De-capitalised	Year of capitalisation of asset/equipment being decapitalised	Original Book Value of the asset being decapitalised	Debt Equity ratio at the time of capitalisation	Cumulative Depreciation corresponding to decapitalisation	DCumulative Repayment of Loan corresponding to decapitalisation	Justification
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1	2018-19	Office Equipment		0.00370				
2								
3								
4								
5								



Petitioner
Director (Projects)

POWER TRANSMISSION CORPORATION
OF UTTARAKHAND LTD., DEHRADUN

SLDC

State Load Despatch Centre

Form: F - 10.1

Statement of Outstanding Loans

2017-18

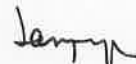
(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount received during the year	Principal due during the year	Principal redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (REC, New Delhi)	11.60%	10 Years	227,72,700.00	-	75,90,900.00	75,90,900.00	-	151,81,800.00	
Loan 2 (REC, New Delhi)	11.25%	10 Years	41,59,200.00	-	13,86,400.00	13,86,400.00	-	27,72,800.00	
Loan 3 (Name of lender), etc.	12.25%	10 Years	227,13,428.00	-	75,71,143.00	75,71,143.00	-	151,42,285.00	
Sub-Total (A)			496,45,328.00	-	165,48,443.00	165,48,443.00	-	330,96,885.00	
B. Government Loans									
Type 1			-	-	-	-	-	-	
Type 2			-	-	-	-	-	-	
Type 3 etc.			-	-	-	-	-	-	
Sub-Total (B)			-	-	-	-	-	-	
Sub-Total (A+B)			496,45,328.00	-	165,48,443.00	165,48,443.00	-	330,96,885.00	
C. Normative Loans									
TOTAL (A+B+C)			496,45,328.00	-	165,48,443.00	165,48,443.00	-	330,96,885.00	

2018-19

(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount received during the year	Principal due during the year	Principal redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (REC, New Delhi)	11.60%	10 Years	151,81,800.00	-	75,90,900.00	75,90,900.00	-	75,90,900.00	
Loan 2 (REC, New Delhi)	11.25%	10 Years	27,72,800.00	-	13,86,400.00	13,86,400.00	-	13,86,400.00	
Loan 3 (Name of lender), etc.	11.25%	10 Years	151,42,285.00	1052,16,000.00	75,71,143.00	75,71,143.00	-	1127,87,142.00	
Sub-Total (A)			330,96,885.00	1052,16,000.00	165,48,443.00	165,48,443.00	-	1217,64,442.00	
B. Government Loans									
Type 1			-	-	-	-	-	-	
Type 2			-	-	-	-	-	-	
Type 3 etc.			-	-	-	-	-	-	
Sub-Total (B)			-	-	-	-	-	-	
Sub-Total (A+B)			330,96,885.00	1052,16,000.00	165,48,443.00	165,48,443.00	-	1217,64,442.00	
C. Normative Loans									
TOTAL (A+B+C)			330,96,885.00	1052,16,000.00	165,48,443.00	165,48,443.00	-	1217,64,442.00	


 Director (Projects)
 POWER TRANSMISSION CORPORATION
 OF UTTARAKHAND LTD., DEHRADUN

2019-20

(Figures in Rs Crore)									
Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (REC, New Delhi)	11.60%	10 Years	75,90,900.00	2097,90,000.00	75,90,900.00	75,90,900.00	-	2097,90,000.00	
Loan 2 (REC, New Delhi)	11.25%	10 Years	13,86,400.00	-	13,86,400.00	13,86,400.00	-	-	
Loan 3 (Name of lender), etc.	11.25%	10 Years	1127,87,142.00	-	1127,87,142.00	1127,87,142.00	-	-	
Sub-Total (A)			1217,64,442.00	2097,90,000.00	1217,64,442.00	1217,64,442.00	-	2097,90,000.00	
B. Government Loans									
Type 1			-	-	-	-	-	-	
Type 2			-	-	-	-	-	-	
Type 3 etc.			-	-	-	-	-	-	
Sub-Total (B)			-	-	-	-	-	-	
Sub-Total (A+B)			1217,64,442.00	2097,90,000.00	1217,64,442.00	1217,64,442.00	-	2097,90,000.00	
C. Normative Loans									
TOTAL (A+B+C)			1217,64,442.00	2097,90,000.00	1217,64,442.00	1217,64,442.00	-	2097,90,000.00	

2020-21

(Figures in Rs Crore)									
Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
PFC/REC/Other Institutions			2097,90,000.00	1086,40,000.00				3184,30,000.00	
Sub-Total (A)			2097,90,000.00	1086,40,000.00				3184,30,000.00	
B. Government Loans									
Type 1			-	-	-	-	-	-	
Type 2			-	-	-	-	-	-	
Type 3 etc.			-	-	-	-	-	-	
Sub-Total (B)			-	-	-	-	-	-	
Sub-Total (A+B)			2097,90,000.00	1086,40,000.00	-	-	-	3184,30,000.00	
C. Normative Loans									
TOTAL (A+B+C)			2097,90,000.00	1086,40,000.00	-	-	-	3184,30,000.00	



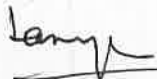
Director (Projects)
POWER TRANSMISSION CORPORATION
OF UTTARAKHAND LTD., DEHRADUN

2021-22

(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
PFC/REC and other institutions			3184,30,000.00	1373,40,000.00	-	-		4557,70,000.00	
Sub-Total (A)			3184,30,000.00	1373,40,000.00	-	-		4557,70,000.00	
B. Government Loans									
Type 1			-	-	-	-	-	-	
Type 2			-	-	-	-	-	-	
Type 3 etc.			-	-	-	-	-	-	
Sub-Total (B)			-	-	-	-	-	-	
Sub-Total (A+B)			3184,30,000.00	1373,40,000.00	-	-		4557,70,000.00	
C. Normative Loans									
TOTAL (A+B+C)			3184,30,000.00	1373,40,000.00	-	-	-	4557,70,000.00	

- Note:** i) In case reschedulement of any loan has been undertaken, the terms of reschedulement to be specified clearly, through an attachment alongwith copy of the letter from the Lender outlining the terms of Re-scedulement.
ii) Any Loan not allocated to any specific Scheme and not forming part of Approved Financial Package, to be shown separately, with reasons thereof.
iii) The original Financing Plan and the cumulative repayment as per the Original Financing Plan to be outlined for each Loan.
iv) For current year, Loans already drawn and the loan proposed to be drawn by end of year to be shown separately.
v) Any new loan from existing or new lenders to be identified separately as a Loan.
vi) In case of Foreign Currency Loans, data to be provided in the currency of borrowing alongwith the name of the currency..


Director (Projects)
POWER TRANSMISSION CORPORATION
OF UTTARAKHAND LTD., DEHRADUN